

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
18 April 2002 (18.04.2002)

PCT

(10) International Publication Number  
**WO 02/32165 A1**

(51) International Patent Classification<sup>7</sup>: **H04Q 7/22**

(21) International Application Number: **PCT/EP00/09884**

(22) International Filing Date: **9 October 2000 (09.10.2000)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(71) Applicant (for all designated States except US): **NOKIA CORPORATION [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).**

(72) Inventors; and

(75) Inventors/Applicants (for US only): **HURTTA, Tu-  
ija [FI/FI]; Kiskottajankuja 4 D 49, FIN-02660 Espoo  
(FI). KOISTINEN, Janne [FI/FI]; Vanharaide 3 C 61,  
FIN-02610 Espoo (FI).**

(81) Designated States (national): **AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,  
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,  
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,  
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,  
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,  
TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.**

(84) Designated States (regional): **ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG,  
CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).**

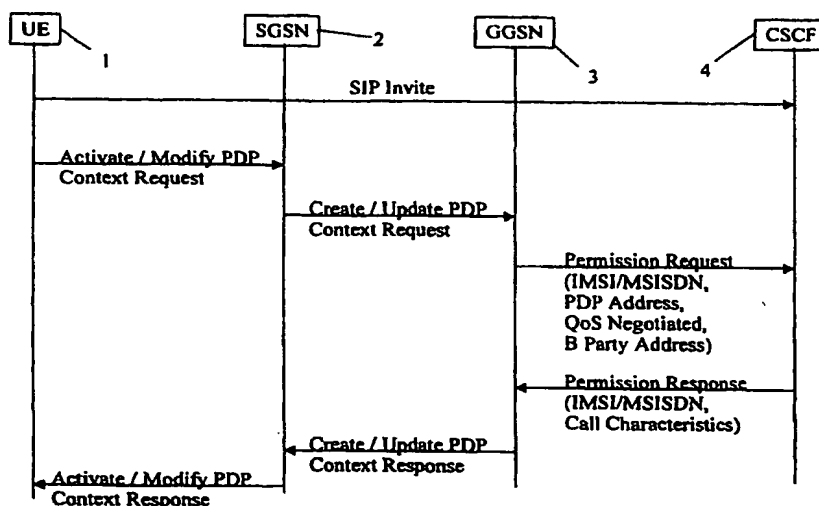
**Published:**

— with international search report

(74) Agents: **LESON, Thomas, Johannes, Alois et al.;  
Tiedtke-Bühling-Kinne, Bavariaring 4, 80336 Munich  
(DE).**

*For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.*

(54) Title: **METHOD AND SYSTEM FOR ESTABLISHING A CONNECTION BETWEEN NETWORK ELEMENTS**



(57) Abstract: The invention provides a method and system for establishing or handling a connection between a first and a second network element connected to different networks such as GPRS/UMTS and IP-based networks. The connection is established by means of at least one third network element such as a SGSN or GGSN arranged in one of the networks. The third network element is adapted to send, when receiving information on an establishment of a connection, a request to a fourth network element which may be a Call State Control Function (CSCF), a Policy Control Function (PCF), or a Call Processing Server (CPS). The request requests permission for establishing a requested type of connection, or requests a check of a connection parameter, and specifies the first and/or second network element and/or the connection or connection type to be established. The fourth network element returns a response specifying a permission for establishing a connection or connection type, or specifying a connection parameter.(Fig. 1)

WO 02/32165 A1

METHOD AND SYSTEM FOR ESTABLISHING A CONNECTION BETWEEN  
NETWORK ELEMENTS

5

## FIELD OF THE INVENTION

The invention relates to a method and system for establishing  
a connection between two or more network elements. The  
10 connection may for example be a VoIP (Voice over Internet  
Protocol) call. The connection may involve e.g. an IP  
telephony layer or network and a GPRS/UMTS-based network  
transporting the call.

15

## BACKGROUND OF THE INVENTION

Generally, for properly establishing and handling a  
connection between network elements such as a user equipment,  
20 for instance a mobile terminal, and another user terminal or  
database, etc., one or more intermediate network elements  
such as support nodes are involved. One or more connection  
parameters are used for defining connection characteristics  
such as PDP (Packet Data Protocol) context information,  
25 quality of service (QoS) requested or provided, charging-  
related information such as charging tariff, etc.

In particular in a case when a connection involves two or  
more networks of different types such as networks using  
30 different transmission protocols, e.g. GPRS/UMTS-based  
networks and IP-based networks, problems may occur in  
properly establishing the connection and setting the  
connection parameters.

35

## SUMMARY OF THE INVENTION

The present invention provides a method and system which are able to properly establish a connection between network  
5 elements, e.g. arranged in different networks, in an advantageous manner, as defined in the attached claims.

The connection can be properly established or processed, e.g.  
10 for charging purposes, by exchanging request and response between the third and fourth network element related to the permission for establishing a connection (or connection type such as PDP type), or to a connection parameter such as QoS (Quality of Service) so as to ensure correct handling of the  
15 connection.

The third network element may be a support node, preferably a gateway support node whereas the fourth network element may be a CSCF or PCF or CPS. The fourth network element may be  
20 part of, or provide, a IP telephony layer.

In accordance with one of the aspects of the invention, the communication happens between the PS (packet-switched) domain (e.g. GGSN or SGSN) and between the IM subsystem (CSCF).

25 According to one of the preferred embodiments of the invention, the fourth network element such as the IP telephony layer is allowed to control at least one connection parameter, e.g. to restrict a PDP (Packet Data Protocol)  
30 context activation or modification. For example, a conversational PDP context, i.e., a connection enabling a conversation between the caller and the callee, may only be activated when the first network element, e.g. a mobile terminal, is trying to make a call to the second network  
35 element. When, as an example, the connection parameter is a PDP context, and an activation or modification of PDP context

WO 02/02105 PC1/EP00/07804

is requested, the third network element such as a GGSN may send a permission request to the fourth network, such as CSCF or PCF or CPS, in order to check whether the PDP context activation or modification can be accepted.

5

This approach provides several advantages. First, the fourth network element such as CSCF learns the address of the third network element, e.g. the GGSN, from the request and therefore knows where to return the response. Otherwise, when  
10 the fourth network element were designed to send the information to the third network element before being addressed by the third network element, problems may arise when the fourth network element does not have information on the address of the third network element in charge of  
15 handling the connection.

Even when the first network element, e.g. a mobile terminal, should directly send information to the fourth network element when trying to establish a connection such as a call,  
20 the first network element does not yet have information on the address of the third network element in charge of subsequently handling the connection, and can therefore not send this address information to the fourth network element. Furthermore, if a message such as an authorize message would  
25 first be sent from the fourth to the third network element, the third network element would have to store information on call handling parameters such as PDP contexts which are not yet active. The third network element might then have to activate a timer, and to remove the authorize information  
30 after timer expiry, in case the PDP context activation should not be performed for some reason.

Furthermore, the solution proposed according to the present invention works also for roaming subscribers and thus  
35 provides additional advantage.

Generally, according to an aspect, the invention provides a solution for restricting e.g. PDP context activation or modification based on a call that will be carried on the PDP context.

5

According to one of the preferred embodiments of the invention, a common identifier is provided in the networks or layers working according to different protocols, e.g. in the GPRS/UMTS layer and the IP telephony layer, as well as in a control means or function such as CSCF or PCF. This common identifier may be used to map a PDP context to a call. The common identifier may be e.g. a call identifier such as Call\_Id already provided in SIP (Session Initiation Protocol) messages.

15

As an alternative, the common identifier may also be an identifier allocated in one of the layers, e.g. in the GPRS/UMTS layer. For instance, the common identifier in this case may be NSAPI. In this case, this common identifier is preferably sent to the fourth network element, e.g. the CSCF, in a protocol message such as the INVITE message of SIP. The common identifier (e.g. NSAPI) may then be sent from the third network element, e.g. GGSN, to the fourth network element (e.g. PCF) as well as from a fifth network element (e.g. CSCF) to the fourth network element. The fourth network element then maps a request (sent by the third network element) and an authorisation (sent by the fifth network element such as CSCF) based on the common identifier, e.g. NSAPI.

30

In accordance with a further preferred embodiment of the invention, a mechanism is provided for combining a connection parameter such as charging info generated in a first network such as mobile core network (e.g. SGSN and GGSN), and in another network such as IPT (IP-based telephony) core network, e.g. CPS. According to this embodiment, a

35

possibility for charging of QoS (Quality of Service) level used in telephony calls is provided.

5 According to this aspect of the invention, a mechanism for combining the call-related charging info and for controlling relevancy between QoS reservation in the one network (e.g. IPT network, with IPT QoS reservation being sent e.g. in the INVITE message of SIP) and QoS reservation (e.g. PDP context QoS context activation) in the other network (e.g. mobile  
10 packet core network) is provided. As an example, the delivered identifier such as Call\_Id is checked for charging purposes, and the requested QoS level relevancy or request is also checked both in the protocol message (e.g. SIP: INVITE) and PDP context activation message(s).

15 A new parameter may be introduced in PDP context activation for informing the third network element such as GGSN about the fourth network element such as serving CSCF, or integrated CSCF/PCF, or CPS. Therefore, the third network  
20 element is informed on the address of the fourth network element to which the QoS check request is to be sent.

A further optional feature controllable by the end-user may be the possibility of requesting a QoS check by a terminal  
25 (e.g. first network element) in a protocol message such as SIP: INVITE.

According to this aspect of the invention, the preparation of a charging record based on QoS provided is possible.

30

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows the basic structure and message flow according  
35 to one embodiment of a method and system according to the invention;

Fig. 2 illustrates a further embodiment of a system and method in accordance with the present invention;

5 Fig. 3 shows a further embodiment of a system and method in accordance with the present invention;

Fig. 4 illustrates another embodiment of a system and method according to the present invention;

10

Fig. 5 shows another embodiment of a system and method in accordance with the present invention;

15 Fig. 6 illustrates a modification of the embodiment shown in Fig. 2; and

Fig. 7 shows another embodiment of a system and method in accordance with the present invention

20

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS OF THE INVENTION

25 Fig. 1 illustrates a first embodiment of a method or system in accordance with the invention. This embodiment provides a CSCF-permitted PDP context activation or modification. A user equipment (UE) 1 is a first network element which may be a mobile station. SGSN 2 represents a serving node (serving GPRS support node) which serves user equipment 1 in handling a connection to another network element (second network element) such as a terminal of a called party which is not shown in Fig. 1. GGSN (Gateway GPRS Support Node) 3 represents a gateway node for handling connections to another network to which the called party terminal may be attached. A  
35 Call State Control Function (CSCF) 4 represents a fourth

network element which decides on permission of PDP context activation or modification.

- When the user equipment 1 intends to make a call to a terminal arranged in another network, e.g. an IP-based network, it sends a message such as an INVITE message of SIP (Session Initiation Protocol) to the CSCF 4. Thereafter, preferably after having received a response from CSCF 4 informing on the acceptance of the call request, the user equipment 1 sends an Activate (or Modify) PDP context request to SGSN 2. The SGSN 2 in response to this Activate (or Modify) PDP context request, sends a Create (or Update) PDP context request to GGSN 3.
- 15 In response to this request from SGSN 2, the GGSN 3 does not immediately perform a Create or Update of the PDP contexts but is adapted first to send a permission request to CSCF 4. In the embodiment of Fig. 1, the GGSN 3 sends this permission request to the CSCF 4 in order to check whether PDP context
- 20 activation/modification can be accepted. In a modified embodiment, the permission request may also be sent to a policy control function PCF which may represent an additional optional network element or may be integrated with CSCF.
- 25 The GGSN 3 includes IMSI/MSISDN (and possibly the PDP address) in the permission request to identify the mobile, that is the user equipment 1. The GGSN 3 may additionally send, in the permission request, the requested QoS (Quality of Service) values as well as the address of the called party
- 30 (B Party Address), if present in the traffic flow template TFT. If IMSI/MSISDN (and possibly PDP address) should not be sufficient to identify the user equipment 1 or the call, an additional information such as NSAPI may be used and transmitted to GGSN 3. In this case, the user equipment 1
- 35 preferably sends the information NSAPI of the PDP context in a call-set up message to the CSCF, e.g. in the SIP: INVITE



message. The CSCF 4 (or if alternatively or additionally provided PCF) is then adapted to check that the NSAPI for the call contained in the call-set up message equals the NSAPI for the PDP context sent from the GGSN 3 in the permission request, so that the CSCF 4 (or the PCF) can authorise the correct PDP context. If there should be provided a separate PCF, the CSCF 4 is adapted to send the NSAPI to the PCF. Likewise, in this case, the GGSN 3 is adapted to send the permission request including NSAPI to the separate PCF.

10

In response to the permission request and after effecting the above described check, the CSCF 4 (or the PCF) sends a permission response to the GGSN 3. The permission response includes IMSI/MSISDN for identifying the user equipment 1 or the call for which the PDP context is to be created or updated, and preferably additionally includes information such as "call characteristics". The call characteristics information preferably includes accepted QoS values, accepted B Party Information (preferably IP address and the port number of the called party), as well as an indication indicating whether the call is a normal call or an emergency call.

20

The GGSN 3 is adapted to set the QoS values to the ones received from the CSCF 4 (or the PCF). The GGSN 3 can set the allocation/retention priority to the highest value if the call is an emergency call. Furthermore, the GGSN 3 can set the traffic flow template TFT according to the B Party Information.

30

In case the call is an emergency call and the PDP context is used for this emergency call, the user equipment 1 may be informed thereon by sending this information from the GGSN 3 to the SGSN 2 which will forward this information to the (mobile) user equipment 1.

35

For sending the permission request, the GGSN 3 must know the address of the CSCF 4 for communication. In one embodiment of the invention, the CSCF address is added as a new parameter to the Activate (or Modify) PDP context request and the  
5 Create (or Update) PDP context request messages. In an alternative embodiment, the GGSN 3 is implemented to derive the CSCF address from the TFT of the signalling PDP context.

Further, the GGSN 3 may also be informed in some other way on  
10 the CSCF 4 address.

When another network element such as PCF is provided for deciding on the permission, the address of this network element (such as PCF) may be configured to the GGSN 3 (per  
15 access point) and to the CSCF 4.

Further, in accordance with another possible aspect of the invention, if the above described functionality (Permission Request and Permission Response) is also to be provided for  
20 roaming subscribers, a new parameter describing whether or not a permission from the CSCF (or the PCF) is needed at PDP context activation (or modification), is added to the subscription information in the subscriber database (such as Home Location Register HLR). This new parameter can be PDP  
25 context specific.

Returning now to Fig. 1, after receipt of the Permission Response, the GGSN 3 sets the PDP context and further information as necessary in accordance with the information  
30 contained in the Permission Response, such as accepted QoS value etc. Further, the GGSN 3 returns a Create (or Update) PDP context response to SGSN 2. In response thereto, the SGSN 2 sends an Activate (or Modify) PDP context response to the user equipment 1. Thereupon, the call is established and  
35 carried-out in the known manner.

Fig. 2 shows a further embodiment of the invention (method and/or system) which is provided with a Policy Control Function (PCF). The PCF has an interface towards the GGSN as well as to the CSCF. The PCF can be used for the communication between the IP telephony layer, i.e. proxy CSCF, and the GPRS/UMTS layer (GGSN). For example, a call can have effects on the PDP context which is activated for the call.

Fig. 2 illustrates an example for the communication and message flow between the GPRS/UMTS layer, i.e. the GGSN, and the IP telephony layer, i.e. the CSCF, via the PCF. The IP telephony layer is allowed to restrict PDP context activation (or modification).

According to Fig. 2, a call-based permission for PDP context activation/modification is performed. The case shown presents a PDP context activation in case of a mobile originated (MO) call, that is a call originating from mobile station (MS) 21, the called party (callee) being represented by network element 27 (user equipment, database, etc.). For the PDP context activation, a permission is requested from the PCF 25. Only the parameters required for the communication between proxy CSCF 26 and PCF 25, and for the communication between GGSN 24 and PCF 25 are shown and described below.

Generally, according to the embodiment shown in Fig. 2, a common identifier is provided in the GPRS/UMTS layer (i.e. GGSN 24 of third generation (3G)), in the IP telephony layer, e.g. CSCF 26, and in the PCF 25 for mapping a PDP context to a call. The subscriber identifier, e.g. IMSI, is not enough when the MS 21 has multiple calls ongoing at the same time. In such a case, the common identifier used according to Fig. 2, is the call identifier Call\_Id which already exists in SIP messages. The initiator of a call, in the present example mobile station 21, allocates the Call\_Id in a manner known

e.g. from SIP protocol which identifier Call\_Id uniquely identifies the call.

According to a preferred embodiment such as the one shown in  
5 Fig. 2, this common identifier such as Call\_Id is sent from  
the MS 21 to the SGSN 23 and from SGSN 23 to GGSN 24.  
Further, this common identifier is sent from the mobile  
station 21 to the proxy CSCF 26, preferably in a call-  
initiating message such as SIP:INVITE. Further, this common  
10 identifier is sent from the CSCF 26 to the PCF 25, and  
furthermore from the GGSN 24 to PCF 25. The PCF 25 then maps  
a request sent by the GGSN 24 and an authorisation sent by  
the CSCF 26 based on the common identifier (e.g. call Id),  
and decides on call permission and/or connection parameters  
15 such as QoS to be used.

In a modified embodiment, an identifier allocated in the  
GPRS/UMTS layer, e.g. in the GGSN 24, is used as the common  
identifier. As an example, NSAPI is used as such a common  
20 identifier. In this case, in accordance with one embodiment  
of the invention, the NSAPI is sent from the MS 21 to the  
CSCF 26 in the INVITE message or other call-set up message.  
Furthermore, NSAPI is sent from the GGSN 24 to the PCF 25,  
and from the CSCF 26 to the PCF 25. In this case, the PCF 25  
25 maps a request sent by the GGSN 24 and an authorisation sent  
by the CSCF 26 based on NSAPI.

An operator may configure access point specific information  
to the GGSN to indicate whether communication with the PCF is  
30 required and for what kinds of PDP context, e.g. only when  
the QoS class indicates conversational, i.e. a voice  
transmission. The PCF 25 address can also be configured to  
the GGSN 24 and to the CSCF 26 so that the GGSN 24 and the  
CSCF 26 can communicate with the same PCF 25.

35

In an alternative embodiment, when the PCF address is not configured per network element such as elements 24 and 26, a new parameter, e.g. the PCF address, can be included in the subscription information in the subscriber database such as HLR and/or the UMS (User Mobility Server). The SGSN 23 receives the PCF 25 address from the subscriber database, e.g. HLR, and sends it to the GGSN 24. When receiving the PCF 25 address, the GGSN 24 knows which PCF 25 to contact. The CSCF receives the same PCF 25 address from the UMS and can contact the same PCF 25.

It may be home operator specific whether communication with the PCF 25 is required or not. For roaming subscribers, a new parameter describing whether communication with the PCF 25 is required, e.g. an information "PCF Interaction Required" is added to subscription information in the subscriber database HLR and the UMS. The "PCF Interaction Required" in the HLR may be subscription specific or may be PDP context specific. The SGSN 23 receives the information "PCF Interaction Required" from the HLR and sends it to the GGSN 24. When receiving the information "PCF Interaction Required", the GGSN 24 knows whether it is necessary to communicate with the PCF or not when establishing a connection or modifying a connection or the like. The CSCF 26 receives the information "PCF Interaction Required" from the UMS and knows therefrom whether or not communication with the PCF 25 is required.

Therefore, according to this aspect of the invention, three new ideas are alternatively or combinedly incorporated:

- (a) a common identifier is provided to map a PDP context to a call;
- (b) a new parameter for HLR and UMS is provided, namely the PCF address; and/or
- (c) a new HLR and UMS parameter is provided such as "PCF Interaction Required".

According to the embodiment of Fig. 2, the PS (Packet-switched) domain interaction with Policy Control Function (PCF) 25 is shown and described. The steps performed when establishing a connection are described below in more detail with reference to the step numbers shown in Fig. 2.

In step 1., the mobile station 21 sends an INVITE message to the proxy CSCF 26, the INVITE message containing a subscriber identification "Subscriber Id" and a call identifier "Call\_Id". The proxy CSCF 26 forwards this message to the callee 27.

In step 2., the proxy CSCF 26 receives a positive acknowledgement from the callee terminal 27, e.g. 183w/SDP as defined in SIP. The proxy CSCF 26 forwards this acknowledgement to the mobile station (caller) 21.

In step 3., after receiving the positive acknowledgement from the callee terminal 27, the proxy CSCF 26 sends an authorise message (containing Subscriber Id, call identifier Call\_Id, QoS negotiated, callee transport address) to the PCF 25. The Subscriber Id may e.g. be IMSI, MSISDN, or the IP address of the caller 21 (i.e. the PDP address in the GPRS/UMTS layer). The Call\_Id is required and used to map the call to the correct PDP context in the PCF 25. The QoS negotiated includes the QoS parameters negotiated for the call. In case of an emergency call, the proxy CSCF 26 will set the QoS parameter allocation/retention priority to the highest value. The callee transport address is used in the GPRS/UMTS layer to set the TFT (Traffic Flow Template) for the PDP context.

In step 4., the PCF 25 may acknowledge the authorise message of step 3. by returning an authorise acknowledge (Subscriber Id, Call\_Id) message to the proxy CSCF 26.

In step 5., the MS 21 requests to activate a PDP context (e.g. a secondary PDP context) for the call by sending an Activate (secondary) PDP context request (PDP address, Call\_Id, QoS Requested) message to the SGSN 23.

5

In step 6., a radio access bearer set-up procedure is performed.

10 In step 7., the SGSN 24 sends a Create PDP context request (Subscriber Id, Call Id, QoS negotiated) message to the GGSN 24.

15 In step 8., the GGSN 24 requests permission for the PDP context activation by sending a Permission Request (Request Id, Subscriber Id, Call\_Id, QoS negotiated) message to the PCF 25. The first request message (step 8.) creates a request state in the PCF 25.

20 In step 9., the PCF 25 replies by sending a decision (Request Id, QoS negotiated, callee transport address) message to the GGSN 24. The GGSN 24 sets the TFT for the PDP context according to the callee transport address.

25 In step 10., the GGSN 24 may report that it has acted in accordance with the decision by sending a Report State message (Request Id) to the PCF 25.

30 In steps 11., 12., the PDP context activation is reported in the known manner.

In Fig. 2, the messages 8 (request), 9 (decision), and 10 (report state) are COPS messages.

35 Fig. 2 illustrates the case of a PDP context activation. Steps 8. to 10. and the further steps shown in Fig. 2 are the same if the PDP context is to be modified.

It may be operator specific whether a permission for PDP context activation is required from the PCF 25. To provide this function also for roaming subscribers, a new parameter  
5 such as "PCF Interaction Required" is included in the subscription information in the HLR. The SGSN receives the "PCF Interaction Required" from the HLR and shall send it to the GGSN 24 at PDP context activation/modification. When  
10 receiving the "PCF Interaction Required", the GGSN 24 knows whether or not a communication with the PCF 25 is required when creating or modifying a PDP context.

The GGSN 3, 24, 33 (Figs. 3 to 5) can know the address of CSCF 4, 26 (or CPS 34 of Figs. 3 to 5)  
15 by resolving the proxy CSCF address from the proxy CSCF domain name (preferred option);  
from a new parameter "CSCF address" sent by the MS in the (secondary) PDP context activation message;  
from the TFT of the signalling PDP context.

20 The parameters to be sent by the GGSN to find the right call or connection in the PCF (CPS; *PCF is the logical element; It may be a standalone element or located either in the CSCF or in the GGSN.*) may be

25 MS IP address (= PDP address) and MS port number (= TFT destination port number) (preferred option);  
Peer IP address (= TFT source address) and peer port number (= TFT source port number).

30 Figures 3 to 5 show further embodiments of the present invention which provide a method and mechanism to combine charging information generated by a mobile core network and an IPT core network. The mobile core network is represented by SGSN 32 and GGSN 33. The further necessary components for  
35 providing a mobile network are known to the skilled man and not shown in the drawings. The IPT core network is



represented by Call Processing Server (CPS) 34. The further components of the IPT network are known to the skilled man and not illustrated in the drawings.

- 5 The embodiments shown in the figures provide the possibility for charging of the QoS level used in telephony calls or connections of other type. As an example, telephony calls require real time (RT) traffic and usually necessitate higher QoS level than a communication of other type such as e-mail  
10 transmission (which may be transported using lower QoS level and thus being charged at a lower rate).

The embodiments shown in figures 3 to 5 provide a mechanism for combining call-related charging information and  
15 controlling relevancy or coincidence between IPT QoS reservation (e.g. as requested by the call originating terminal in e.g. SIP: INVITE message) and mobile packet core network PDP context QoS (PDP context activation).

- 20 Figures 3 to 5 show the message transmission between a mobile terminal (MT) 31 attached to the mobile network, SGSN 32, GGSN 33 (SGSN 32 and GGSN 33 forming part of the mobile network to which MT 31 is attached), and Call Processing Server (CPS) 34. The CPS 34 comprises the Call State Control  
25 Function (CSCF) such as shown in figures 1 and 2 so that the inscription of block 34 may also be "CSCF".

In the following, the embodiment shown in Fig. 3 will be described in more detail.

30

- When the mobile terminal 31 wants to establish a connection to another network element such as a telecommunication equipment of a party to be called, the mobile terminal 31 issues, as represented by step 1., a acaal establishment  
35 request such as an "INVITE" message of a Session Initiation Protocol such as SIP. The INVITE message is sent from MT 31

to CPS 34 and contains the information elements "Call\_Id" and "SDP: QoS". SDP stands for Serving Profile DataBase.

"Call\_Id" represents a common identifier which is provided to allow to combine or otherwise benefit from links in charging data, e.g. CDRs (charging data records) generated by support nodes such as GSNs (GPRS support nodes) and CSCF (or CPS). This common identifier, e.g. "Call\_Id" is distributed in the connection establishment phase (e.g. call establishment phase) to the support nodes and CSCF (or CPS). This technique is able to uniquely identify a connection or call to be established in all involved processing elements such as GGSN and CPS without requiring a direct interface between these components. This method and structure provides a mechanism for combining charging data and/or checking QoS validity in different network types which e.g. provide an all-IP-connection between end terminals, e.g. IP telephony.

In a next step 2., the mobile terminal 31 transmits a PDP context activation request to the SGSN 32 which request not only includes the usual information such as bearer type and codec, but additionally the parameter "Call\_ID". This parameter "Call\_ID" and the further necessary known information elements are thereupon sent from SGSN 32 to GGSN 33 so that GGSN 33 is also informed about the common identifier "Call\_ID" attributed to the connection to be established. In a next step 3., the GGSN 33 sends a check request to CPS 34, the check request indicating the common identifier "Call\_ID" and further information such as bearer type and codec.

30

As a next step 4., the CPS 34 (or CSCF contained in CPS 34) performs a check for the connection to be established as identified by the common identifier "Call\_ID" and checks that the required QoS parameters are valid in both call signalling (SIP/SDP) and bearer (PDP contexts). The CSCF (CPS 34) performs this check for controlling the validity of the

required QoS parameters before accepting (or proceeding with) the call establishment so as to be able to charge for the QoS provided in the call or connection of other type, or for other purposes than charging. The CPS 34 issues OK or NOT OK  
5 as result of this check (Call\_ID, SDP: QoS, bearer type, codec) and returns (step 5.) a response to GGSN 33 indicating the check result (okay/not okay). The GGSN 33 uses the information received in step 5. for accepting (if check result is positive, "OK") or rejecting (if check result is  
10 negative, "N OK") the call-related PDP context activation, and returns a response to the SGSN 32 informing the latter on the acceptance or rejection of the PDP context activation (or modification). The SGSN 32 performs the known steps upon receipt of the accept or reject response, and sends  
15 corresponding information to the mobile terminal 31.

The CPS 34 (or CSCF) may also directly transmit a response to mobile terminal 31 (step 6.) returning a response to the call establishment request of step 1. As an example, a response  
20 "OK/NOK" of SIP may be transmitted in step 6.

Therefore, an additional message sequence between CSCF (or CPS) and GGSN 33 is provided for making a decision of how to proceed with a connection to be established.

25

The CPS (CSCF) 34 may also receive additional parameters in addition to "Call\_ID" and base the decision on these additional parameters as well.

30 The mechanism shown in Fig. 3 and described above is not restricted to QoS and charging aspects only but may also relate to checks or evaluations of other type. Furthermore, the decision made by CPS (CSCF) 34 may also be advisory and needs not be only a binary "OK/NOT OK" type.

35

As shown in Figs. 3 to 5, the GGSN 33 is adapted to send the check request to the CPS (CSCF) 34 as step 3. Therefore, GGSN 33 needs information on the address or name of CPS (CSCF). In a case where the GGSN 33 has no knowledge about the serving  
5 CSCF (CPS 34) where the mobile terminal 31 has registered with the SIP registration mechanism and has sent the INVITE message, the GGSN 33 has to be informed on the address or name of this serving CSCF (CPS) 34. The embodiment of Fig. 4 presents a solution to this problem.

10

In addition to the above discussed structure and function of the embodiment of Fig. 3, the embodiment of Fig. 4 provides a new parameter, e.g. "S-CSCF\_logicalname", in a PDP context activation request for informing GGSN 33 about the address or  
15 name of the serving CSCF (or CPS) 34 so that GGSN 33 knows where to send a "QoS check" request.

The embodiment of Fig. 4 is based on the structure shown in Fig. 3 and described above. The above description also  
20 applies for the message sequences and performed steps as shown in Fig. 4.

The mobile terminal 31 is informed on the CPS (or CSCF) 34 to which it has registered, and is adapted to include  
25 information on the address or name of the S-CSCF (Serving CSCF in CPS 34) in the message sent in step 2. to SGSN 32 and further transmitted to GGSN 33. This new parameter for indicating the address or name of the Serving CSCF is represented in Fig. 4, by the parameter "S-CSCF\_logicalname"  
30 sent in the PDP context activation request. With this additional information "S-CSCF\_logicalname", the GGSN 33 is now informed on the address or name of the correct CSCF (CPS), and sends the check request (step 3.) to the CPS (CSCF) 34 indicated by this parameter. The other steps shown  
35 in Fig. 4 are identical to same of Fig. 3 described above.

Furthermore, Fig. 5 provides an additional optional feature controllable by an end-user of mobile terminal 31 allowing an end-user or call originating equipment to request a "QoS check", e.g. in a SIP: INVITE message.

5

The embodiment according to Fig. 5 includes all features of the embodiments of figs. 3 and 4 described above. In addition, according Fig. 5, a new parameter, e.g. "Require\_ggsn\_check", is included into the connection establishment request sent, in step 1., from mobile terminal 10 31 to CPS (CSCF) 34.

The structure and method shown in Fig. 5 is an addition to the combining mechanisms for charging data and QoS control as 15 described and provided with regard to Figs. 3 and 4. The embodiment according to Fig. 5 allows an optional selection of performing or not performing the check steps 3. to 5. When the parameter "Require\_ggsn\_check" is set in the SIP INVITE message (or connection establishment request message of other 20 appropriate type) sent from the mobile terminal 31 to CPS 34, the CPS (or CSCF included in CPS) is prepared to perform the check according to a step 4., and expects the check request message from GGSN 33 according to step 3. After receiving the check request in step 3., the CPS 34 performs the check of 25 step 4. as described above, with the step sequence thereafter being continued as described above. When the parameter "Require\_ggsn\_check" is not set or not present in the connection establishment request of step 1., the CPS (CSCF) 34 does not perform the QoS check according to step 4. and 30 does not require any check message from GGSN 33. With this information provided by the new parameter "Require\_ggsn\_check", the CSCF is informed whether or not the check procedure is required to proceed with call establishment. The new check request parameter can of course 35 have any arbitrary designation such as "Require\_pdpqos\_check" provided that it is understood by the CSCF.

This new parameter provided according to Fig. 5, and the optionality of performing or not performing a QoS check or check of any other type (step 4.) is also applicable with a structure as shown in Fig. 3 which does not provide the indication of the logical name or address of CPS 34 according to step 2. of Fig. 4. In particular in a case where the GGSN 33 is informed by other means on the address of CPS 34 to which MT 31 is registered, e.g. by sending a message from CPS 34 to GGSN 33.

The methods and mechanisms provided according to the embodiments of the invention may be implemented as software in GGSN 3, 33 and/or CSCF / CPS 34 allowing a proper execution of the requests and checks as well as check result processing and charging information generation for providing a charging record for established connections.

The provided method and mechanism for checking QoS parameters may also be implemented separately from charging information generation.

The shown embodiments furthermore provide the possibility of controlling and inhibiting e.g. PDP context update for PDP contexts allocated for voice calls until a check from CPS 34 is performed. This can be managed by providing another message exchange between GGSN 33 and CPS 34.

Fig. 6 shows a further embodiment of the invention (method and/or system) which is a modification of the embodiment shown in Fig. 2. According to Fig. 6, the PCF 25 (Fig. 2) is integrated with the Proxy CSCF 26 (Fig. 2) and forms a single network element 25'. This structure provides the benefit of avoiding any external signalling between the PCF and CSCF so that the steps 3. and 4. of Fig. 2 can be omitted. The authorization check according to these steps 3., 4. of Fig. 2

is performed using internal processing within network element 25' of Fig. 6. The signalling between PCF and CSCF is in this case merely an internal signalling (i.e. not so strictly limited by any standardization).

5

The further steps 1., 2., and 5. to 12. of Fig. 6 are identical to the ones described above with regard to Fig. 2.

10 The PCF may therefore be a separate logical entity 25 as shown in Fig. 2, may be integrated to the CSCF as shown in Fig. 6, or may also be integrated to the GGSN 24.

15 Fig. 7 shows another embodiment of a system and method in accordance with the present invention which provides a call-based PDP context activation / modification. Fig. 7 presents a PDP context activation in case of a MO call. It is assumed that at least one PDP context is activated for the call. For the PDP context activation, a permission is requested from the PCF. The permission from the PCF is required to adjust  
20 the QoS of the PDP context to the QoS of the call.

It could be configured to the GGSN whether a decision is needed from the PCF and for what kind of PDP contexts. As an example, the configuration information can define that a decision from the PCF is needed only for conversational PDP  
25 contexts, while for other PDP contexts, the PDP context activation shall proceed without PCF interaction.

Only the parameters which are required for the GGSN - PCF communication are shown and described below. In the following, the steps shown in Fig. 7 will be described in detail.

30

Step 1. The MS sends the Invite (Subscriber Id) message to the proxy CSCF. The proxy CSCF forwards the message towards the callee.

35 Step 2. The proxy CSCF receives a positive acknowledgement, e.g. 183 w/SDP. The proxy CSCF forwards the acknowledgement to the caller.

Step 3. The MS activates a PDP context for the call by sending the Activate Secondary PDP Context Request (QoS Requested) message to the SGSN.

5 Step 4. The radio access bearer setup procedure is performed.

Step 5. The SGSN sends the Create PDP Context Request (PDP Address, QoS Negotiated) message to the GGSN.

10 Step 6. The GGSN requests permission for the PDP context activation by sending the Request (Request Id, Subscriber Id, QoS Negotiated) message to the PCF. The Subscriber Id is an identifier known both in the PS domain and in the IM subsystem, e.g., the IP address of the MS.

15 Step 7. The PCF replies by sending the Decision (Request Id, QoS Negotiated) message to the GGSN.

Steps 8.-9. The PDP context activation is accepted with the parameters received from the PCF.

20 Step 10. The GGSN may report that it has successfully completed performing the decision by sending the Report State (Request Id) message to the PCF.

The steps 6, 7 and 10 are the same if the PDP context is modified.

25 Although preferred embodiments have been shown and described above, the invention is not limited to the details described and shown and intends to cover all modifications, omissions, and additions of the features described above and shown in the drawings.

30 As an example, the invention is not limited to a communication between GGSN (3, 24) and PCF - CSCF (or CSCF/PCF). The same communication is possible by replacing the GGSN 3, 24 with the SGSN 2, 23, resulting in SGSN - PCF - CSCF (or CSCF/PCF) communication.



## CLAIMS

5

1. Method for establishing or handling a connection between a first and a second network element connected to  
10 different networks, the connection being established by means of at least one third network element arranged in one of the networks, wherein the third network element, when receiving information on an establishment of a connection, sends a request to a fourth network element, the request requesting  
15 permission for establishing a requested type of connection, or requesting a check of a connection parameter, the request specifying the first and/or second network element and/or the connection or connection type to be established, the fourth network element returning a response specifying a permission  
20 for establishing a connection or connection type, or specifying a connection parameter, the establishment or handling of the connection being controlled in dependence on the response.

25 2. Method according to claim 1, wherein the third network element is a support node.

3. Method according to claim 2, wherein the support node is a gateway node, in particular a GGSN (Gateway GPRS Support  
30 Node).

4. Method according to any one of the preceding claims, wherein the fourth network element is a Call State Control Function (CSCF).

35

5. Method according to any one of the preceding claims,

WO 02/52103 PC1/EP00/09884  
wherein the fourth network element is a Policy Control  
Function (PCF).

6. Method according to any one of the preceding claims,  
5 wherein the fourth network element is a Call Processing  
Server (CPS).

7. Method according to any one of the preceding claims,  
wherein the fourth network element is at least partly  
10 implemented as part of the third network element.

8. Method according to any one of the preceding claims,  
wherein the request is a permission request requesting  
permission to activate or modify a PDP Context for the first  
15 and/or second network element.

9. Method according to any one of the preceding claims,  
wherein the request is a check request requesting a check of  
at least one connection parameter.  
20

10. Method according to any one of the preceding claims,  
wherein the response indicates call characteristics,  
preferably accepted QoS values, and/or accepted information  
on the second network element, and/or an indication  
25 indicating whether the connection is a normal call or an  
emergency call.

11. Method according to any one of the preceding claims,  
wherein the first network element addresses a fifth network  
30 element when trying to establish a call, the fifth network  
element sending an authorization message to the fourth  
network element characterizing at least one call parameter,  
the fourth network element forming its response to the third  
network element based on the contents of the authorization  
35 message.

12. Method according to any one of the preceding claims, wherein subscription information stored for the first network element includes a parameter defining the necessity of sending a request from the third to the fourth network element when establishing a connection between the first and second network element, this parameter being sent to the third network element when establishing a connection between the first and second network element, the third network element deciding on the necessity of sending the request to the fourth network element depending on the contents of this parameter.

13. Method according to claim 12, wherein, when the parameter indicates no necessity for sending a request from the third to the fourth network element, the third network element does not send such a request and proceeds with the establishing of the connection between the first and second network elements.

14. Method according to any one of the preceding claims, wherein a common identifier is provided for identifying a network element requesting the establishment to another network element, or for identifying a call, both in an IP-based network and an GPRS- or UMTS-based network.

15. Method according to claim 14, wherein the common identifier is used for mapping connection parameter, in particular PDP Context information, to a call, and is transmitted to both the third and the fourth network element when establishing a connection between the first and second network element.

16. Method according to claim 14 or 15, wherein the common identifier is NSAPI, or a Call Identifier provided in a connection initiating protocol, preferably SIP (Session Initiation Protocol).

17. Method according to any one of the preceding claims,  
wherein an address of the fourth network element is contained  
in subscription information stored for the first network  
5 element, the address being transmitted to the third network  
element when establishing a connection being the first and  
second network element.

18. Method according to any one of the preceding claims,  
10 wherein a charging record for charging for the connection is  
provided taking account of the result of a check of the  
connection parameter.

19. Method according to any one of the preceding claims,  
15 wherein the connection parameter indicates requested or  
accepted QoS, and the QoS information provided in both the  
signalling and user traffic is checked, a charging record  
being provided taking account of the check result.

20. Method according to claim 19, wherein the QoS check  
20 is effected in response to a check request.

21. Method according to any one of the preceding claims,  
wherein the connection parameter indicates call  
25 characteristics, preferably accepted QoS values, and/or  
information about the second network element, and/or an  
indication indicating whether the connection is a normal call  
or an emergency call.

22. Method according to any one of the preceding claims,  
30 wherein the connection involves at least two networks of  
different types, preferably a GPRS/UMTS-based network and an  
IP-based network, and QoS values provided provided in both  
networks for the connection are checked and compared for  
35 gaining charging-related information.

23. Method according to any one of the preceding claims, wherein a check request for checking a charging-related parameter is sent from the first network element to the fourth or another network element when sending a call establishment request.

24. System for establishing or handling a connection between a first and a second network element connected to different networks, the connection being established by means of at least one third network element arranged in one of the networks, wherein the third network element is adapted to send, when receiving information on an establishment of a connection, a request to a fourth network element, the request requesting permission for establishing a requested type of connection, or requesting a check of a connection parameter, the request specifying the first and/or second network element and/or the connection or connection type to be established, the fourth network element returning a response specifying a permission for establishing a connection or connection type, or specifying a connection parameter, the establishment or handling of the connection being controlled in dependence on the response.

25. System according to claim 24, wherein the third network element is a support node.

26. System according to claim 25, wherein the support node is a gateway node, in particular a GGSN (Gateway GPRS Support Node).

27. System according to any one of the preceding system claims, wherein the fourth network element is a Call State Control Function (CSCF).

28. System according to any one of the preceding system claims, wherein the fourth network element is a Policy

Control Function (PCF).

29. System according to any one of the preceding system  
claims, wherein the fourth network element is a Call  
5 Processing Server (CPS).

30. System according to any one of the preceding system  
claims, wherein the fourth network element is at least partly  
implemented as part of the third network element.

10

31. System according to any one of the preceding system  
claims, wherein the request is a permission request  
requesting permission to activate or modify a PDP Context for  
the first and/or second network element.

15

32. System according to any one of the preceding system  
claims, wherein the request is a check request requesting a  
check of at least one connection parameter.

20

33. System according to any one of the preceding system  
claims, wherein the response indicates call characteristics,  
preferably accepted QoS values, and/or accepted information  
on the second network element, and/or an indication  
indicating whether the connection is a normal call or an  
25 emergency call.

25

34. System according to any one of the preceding system  
claims, wherein the first network element is adapted to  
address a fifth network element when trying to establish a  
30 call, the fifth network element being adapted to send an  
authorization message to the fourth network element  
characterizing at least one call parameter, the fourth  
network element being adapted to form its response to the  
third network element based on the contents of the  
35 authorization message.

35. System according to any one of the preceding system claims, wherein subscription information stored for the first network element includes a parameter defining the necessity of sending a request from the third to the fourth network element when establishing a connection between the first and second network element, this parameter being sent to the third network element when establishing a connection between the first and second network element, the third network element being adapted to decide on the necessity of sending the request to the fourth network element depending on the contents of this parameter.

36. System according to claim 35, wherein, when the parameter indicates no necessity for sending a request from the third to the fourth network element, the third network element does not send such a request and proceeds with the establishing of the connection between the first and second network elements.

37. System according to any one of the preceding system claims, wherein a common identifier is provided for identifying a network element requesting the establishment to another network element, or for identifying a call, both in an IP-based network and an GPRS- or UMTS-based network.

38. System according to claim 37, wherein the common identifier is used for mapping connection parameter, in particular PDP Context information, to a call, and is transmitted to both the third and the fourth network element when establishing a connection between the first and second network element.

39. System according to claim 37 or 38, wherein the common identifier is NSAPI, or a Call Identifier provided in a connection initiating protocol, preferably SIP (Session Initiation Protocol).

40. System according to any one of the preceding system claims, wherein an address of the fourth network element is contained in subscription information stored for the first  
5 network element, the address being transmittable to the third network element when establishing a connection being the first and second network element.

41. System according to any one of the preceding system  
10 claims, wherein a charging record for charging for the connection is provided taking account of the result of a check of the connection parameter.

42. System according to any one of the preceding system  
15 claims, wherein the connection parameter indicates requested or accepted QoS, and the QoS information provided in both the signalling and user traffic is checked, a charging record being provided taking account of the check result.

20 43. System according to claim 42, wherein the QoS check is effected in response to a check request.

44. System according to any one of the preceding system claims, wherein the connection parameter indicates call  
25 characteristics, preferably accepted QoS values, and/or information about the second network element, and/or an indication indicating whether the connection is a normal call or an emergency call.

30 45. System according to any one of the preceding system claims, wherein the connection involves at least two networks of different types, preferably a GPRS/UMTS-based network and an IP-based network, and QoS values provided provided in both networks for the connection are checked and compared for  
35 gaining charging-related information.



46. System according to any one of the preceding system claims, wherein a check request for checking a charging-related parameter is sent from the first network element to the fourth or another network element when sending a call establishment request.

47. A method for establishing a connection in a two-layer communication network comprising a first communication network layer adapted to establish a communication channel to a terminal and a second communication network layer adapted to establish a session carried on said communication channel, said method comprising the steps of:

- establishing a session with an identifier,
- establishing a communication channel with said identifier,
- authorizing said communication channel by said session using said identifier.

48. Method according to claim 47, wherein said authorizing comprises the steps of:

- a network element in said first communication network layer (e.g. GGSN) initiating a request for said authorization at communication channel establishment
- a network element in said second communication network layer (e.g. CSCF) performing said authorization.

49. Method according to claim 47 or 48, wherein said first communication network layer is a GPRS/UMTS layer, and said second communication network layer is an IP Multimedia Subsystem.

50. Method according to claim 47, 48, or 49, wherein said terminal is a User equipment or Mobile Station.

51. A system for establishing a connection in a two-layer communication network comprising a first communication

network layer adapted to establish a communication channel to a terminal and a second communication network layer adapted to establish a session carried on said communication channel, said system being adapted:

- 5       to establish a session with an identifier,
- to establish a communication channel with said identifier,
- to authorize said communication channel by said session using said identifier.

10

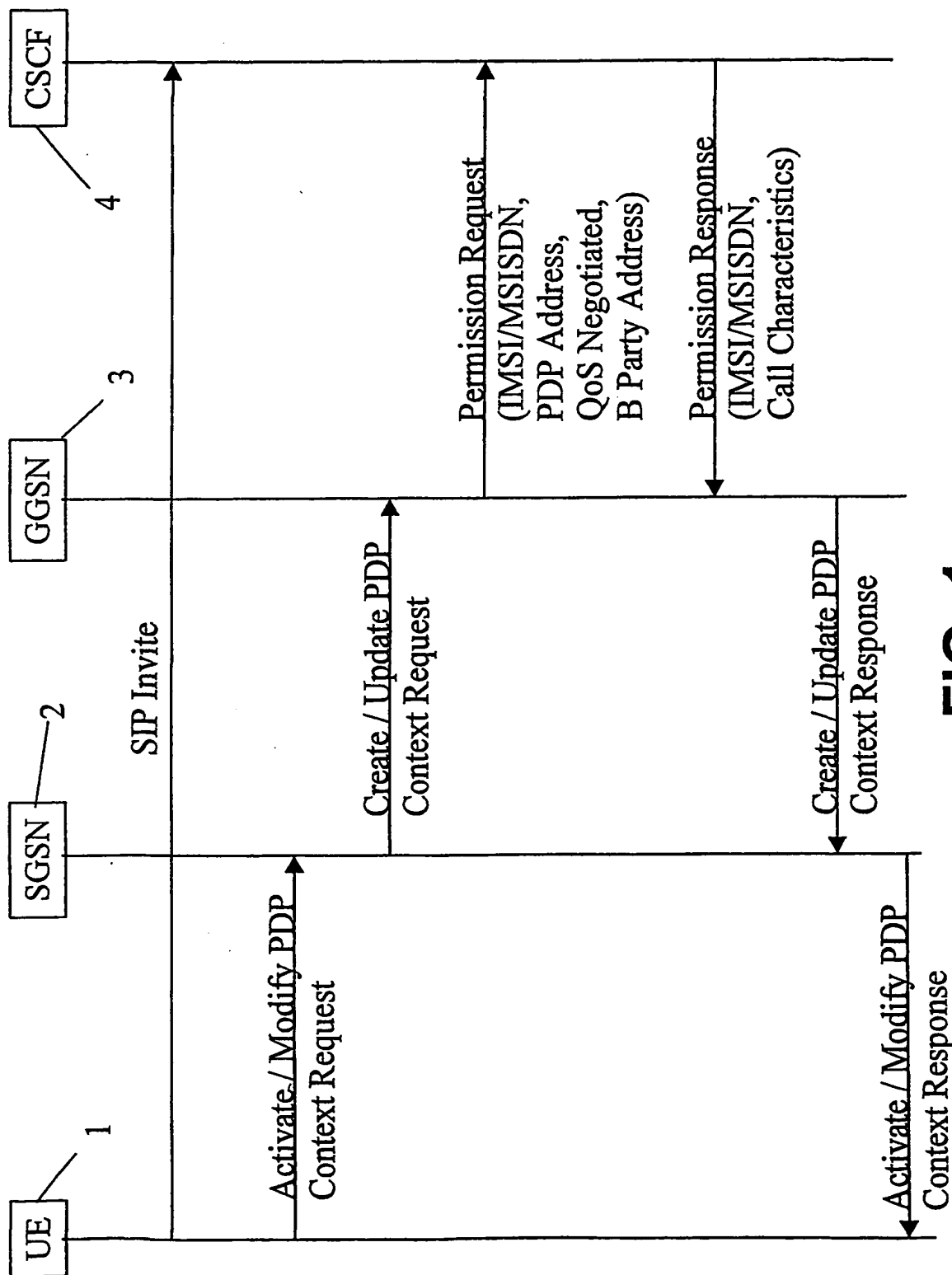
52. System according to claim 51, wherein for performing said authorizing:

- a network element in said first communication network layer is adapted to initiate a request for said authorization
- 15     at communication channel establishment

- a network element in said second communication network layer is adapted to perform said authorization.

- 20     53. System according to claim 51 or 52, wherein said first communication network layer is a GPRS/UMTS layer, and said second communication network layer is an IP Multimedia Subsystem.

- 25     54. System according to claim 51, 52, or 53, wherein said terminal is a User equipment or Mobile Station.



**FIG. 1**

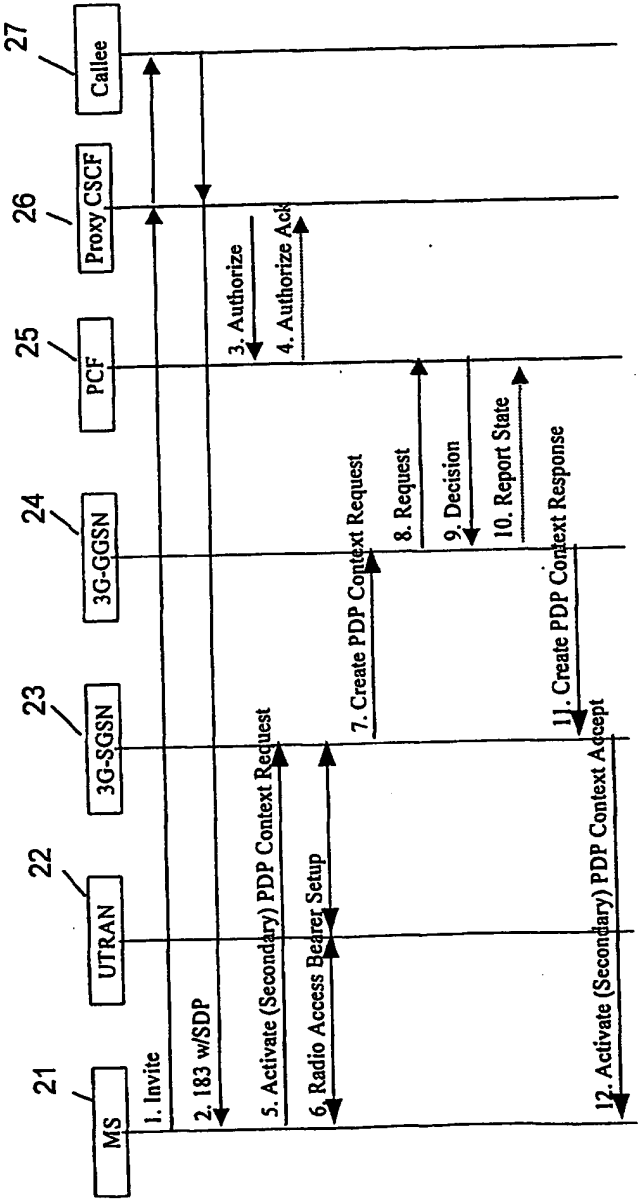


FIG. 2

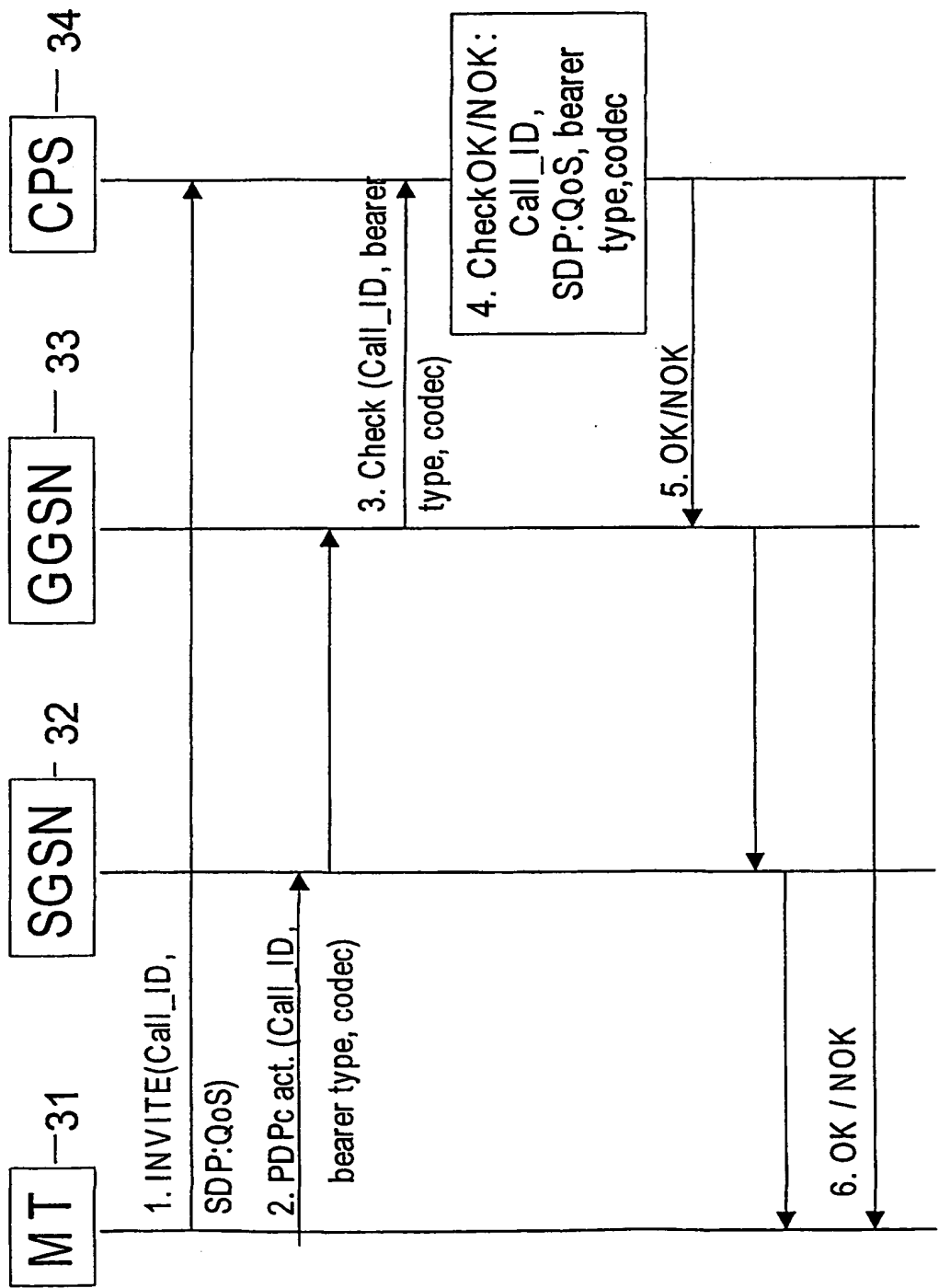


FIG. 3

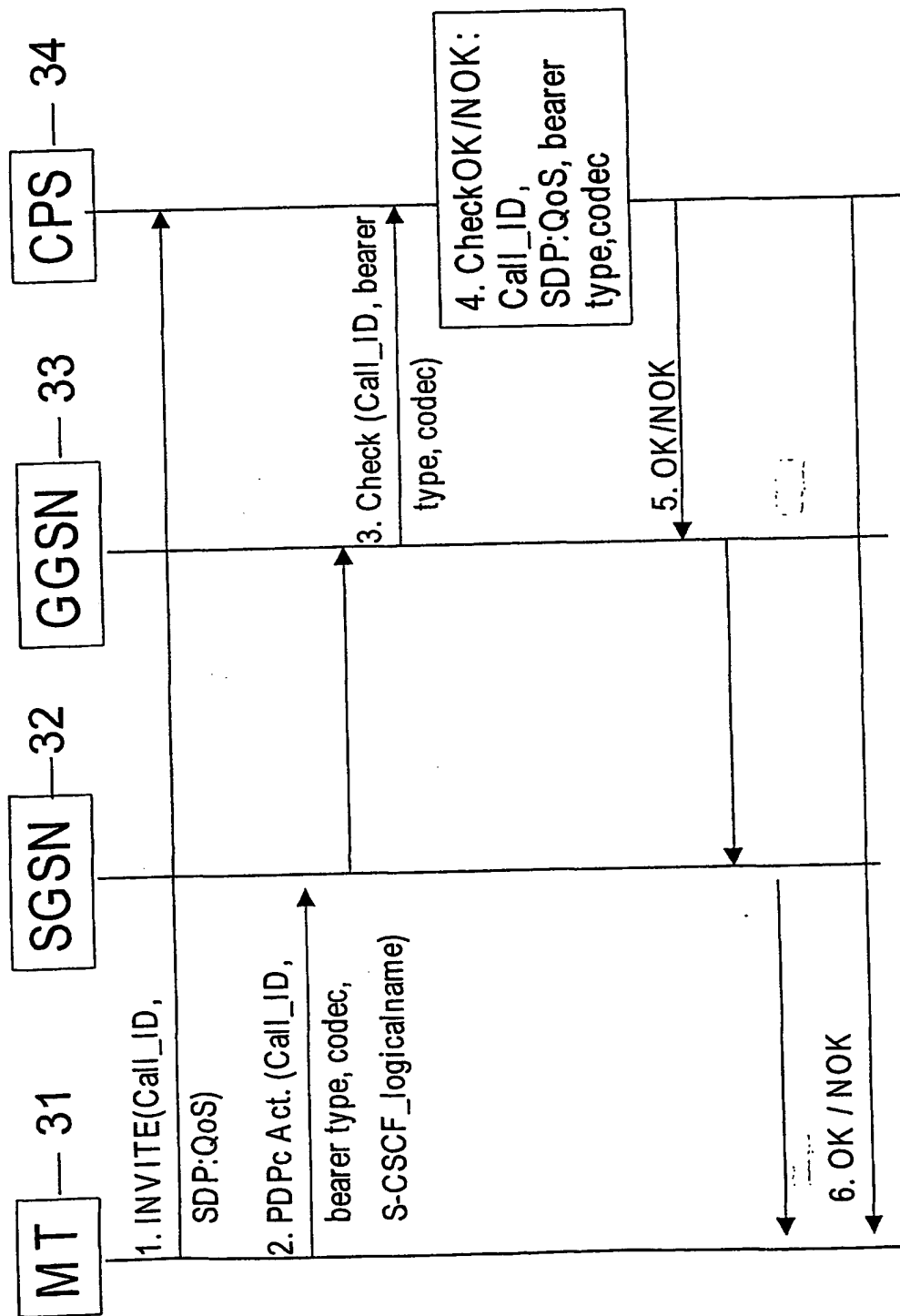


FIG. 4

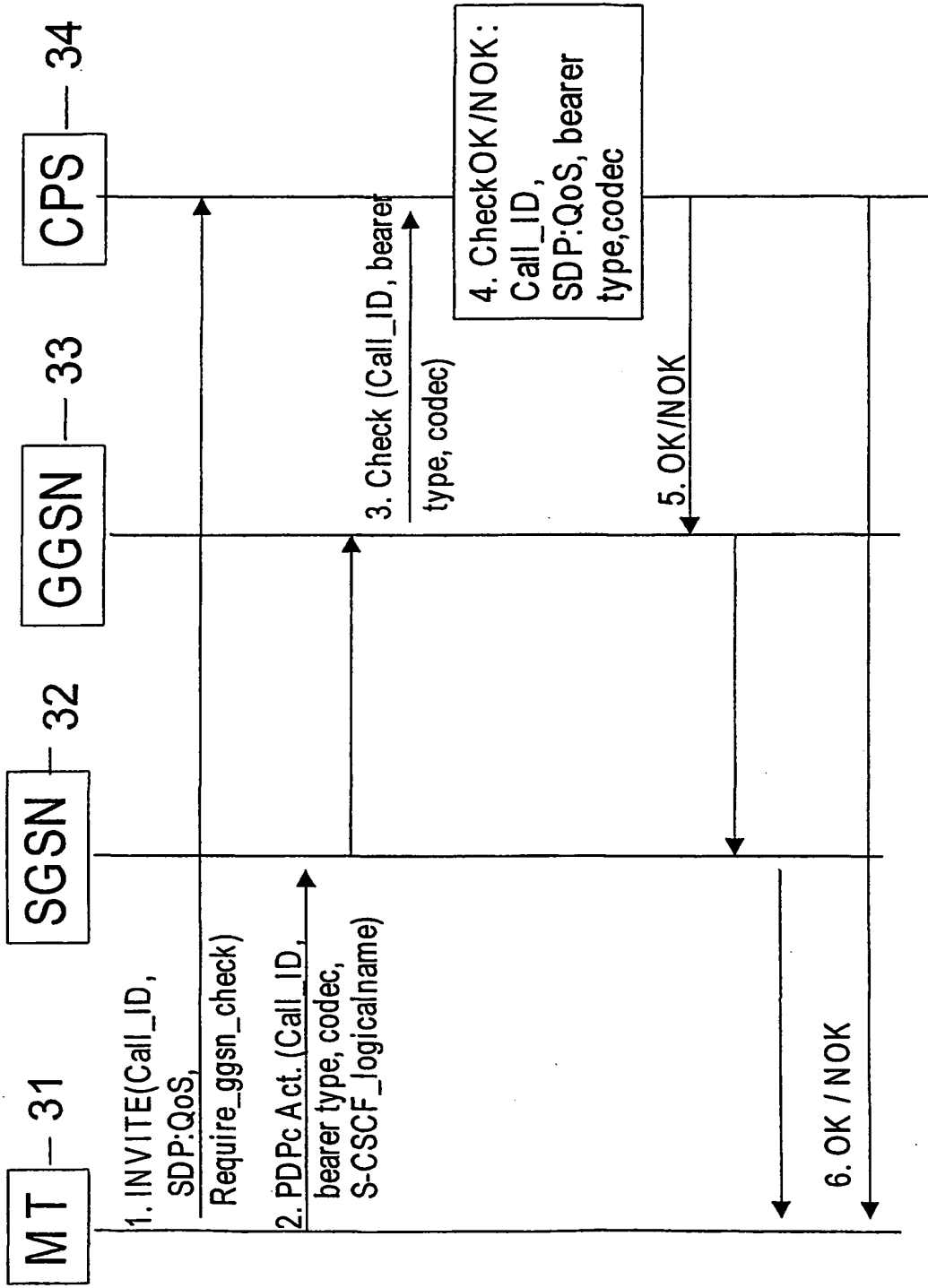


FIG. 5

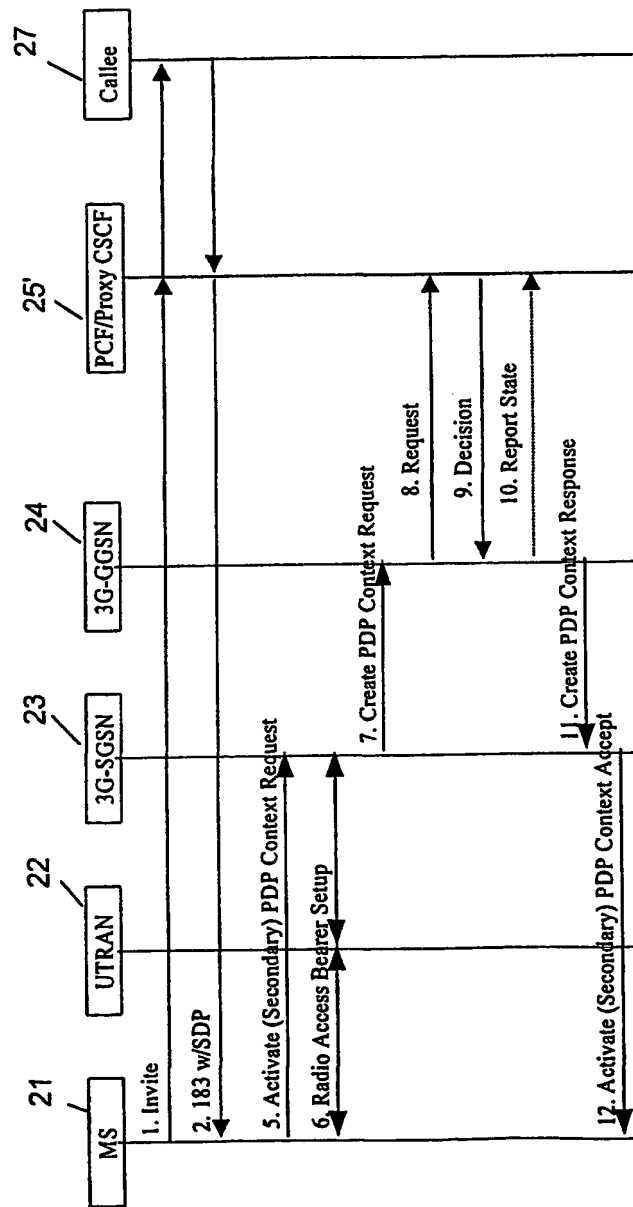


FIG. 6



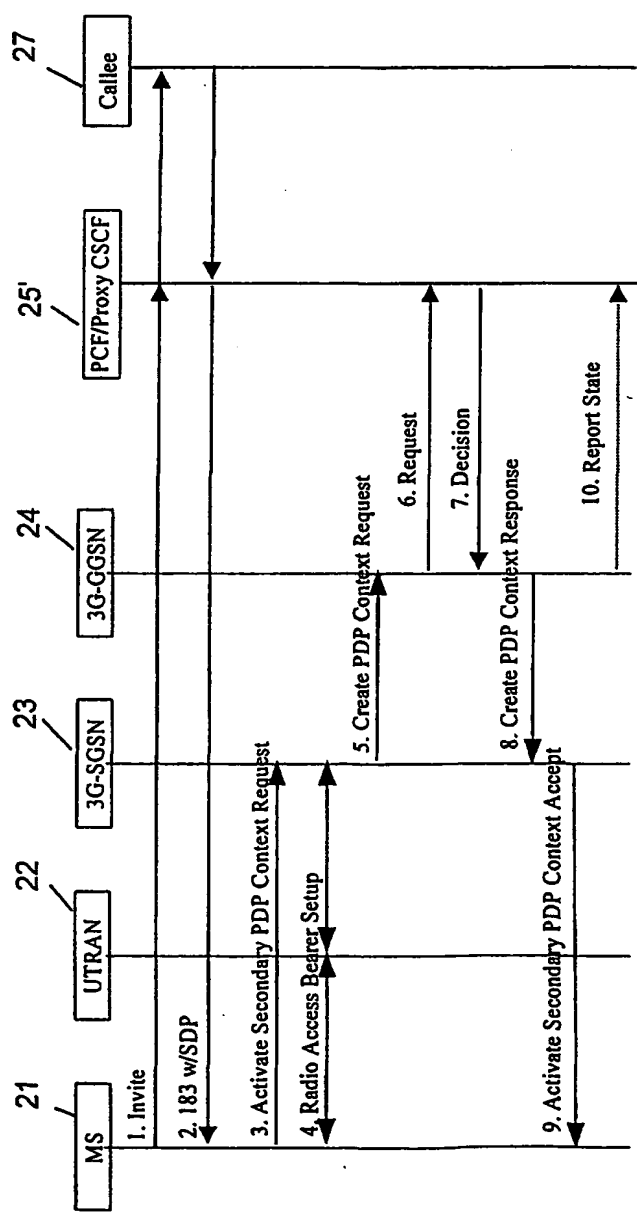


FIG. 7

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 00/09884

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 H04Q7/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 H04Q H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
------------	--	-----------------------

A	PLASSE DELPHINE: "Call Control Scenarios in the all-IP UMTS Core Network" IEEE INTERNATIONAL SYMPOSIUM ON PERSONAL, INDOOR AND MOBILE RADIO COMMUNICATIONS, XX, XX, vol. 1, 18 September 2001 (2001-09-18), pages 322-326, XP002165603 page 322 -page 326	1-54
---	---	------

☐ Further documents are listed in the continuation of box C.

☐ Patent family members are listed in annex.

### \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

13 August 2001

Date of mailing of the international search report

21/08/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

M. Garcia